IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Latent Application of:

John David Miller

Title:

METHOD AND APPARATUS FOR CONTROLLING IMAGE TRANSPARENCY

Attorney Docket No.: 884.055US1

PATENT APPLICATION TRANSMITTAL

BOX PATENT APPLICATION

Assistant Commissioner for Patents Washington, D.C. 20231

We are transmitting herewith the following attached items and information (as indicated with an "X"):

- X Utility Patent Application under 37 CFR § 1.53(b) comprising:
 - X Specification (12 pgs, including claims numbered 1 through 20 and a 1 page Abstract).
 - X Formal Drawing(s) (<u>2</u> sheets).
 - X Signed Combined Declaration and Power of Attorney (<u>3 pgs</u>).
 - X Check in the amount of \$994.00 to pay the filing fee.
- X Assignment of the invention to <u>Intel Corporation</u> (2 pgs) and Recordation Form Cover Sheet.
- Example 2 Check in the amount of \$40.00 to pay the Assignment recording fee.
 - X Return postcard.

u

I

u

The filing fee has been calculated below as follows:

	No. Filed	No. Extra	Rate	Fee
TOTAL CLAIMS	20 - 20 =	0	x 18 =	\$0.00
INDEPENDENT CLAIMS	6 - 3 =	3	x 78 =	\$234.00
[] MULTIPLE DEPENDENT CLAIMS PRI	\$0.00			
BASIC FEE				\$760.00
TOTAL				\$994.00

Please charge any additional required fees or credit overpayment to Deposit Account No. 19-0743.

SCHWEGMAN, LUNDBERG, WOESSNER & KLUTH, P.A. P.O. Box 2938, Minneapolis, MN 55402 (612-373-6900)

Atty: Dann I Reg. No. 35,635

Customer Number 21186

'Expres	s Mail" mailing label number:	EL185284232US			Date of De	eposit: December	r 11, 1998
(hereby	certify that this paper or fee is l	being deposited with the United	States Postal Service	"Express Mail	Post Office to A	ddressee" servic	e under 37
CFR 1.1	0 on the date indicated above	and is addressed to the Assistar	nt Commissioner for P	atents, Box Pa	tent Application	, Washington, D.	.C. 20231.
				Chain	H- Mana	1	
Rx/·	Chris Hammond		Signatura	(1/1/1/1/1/1/1/1/1/1/1/1/1/1/1/1/1/1/1/	V (A) A) A A		

METHOD AND APPARATUS FOR CONTROLLING IMAGE TRANSPARENCY

FIELD OF THE INVENTION

This invention relates generally to image display systems, and, more particularly, to controlling the transparency of displayed images.

5

10

15

BACKGROUND OF THE INVENTION

As computing engines become more powerful, three dimensional graphic scenes are becoming easier to create and more common. They are especially common in scientific visualization applications, such as medical and geographical imaging.

Three dimension graphic scenes often contain a significant amount of information that is layered, and in many cases, the foreground objects obscure portions of the background objects. For example, in the display of a human thorax in a medical imaging application, a rib may obscure a portion of the heart.

Users of three dimensional graphic scenes are often interested in a background object and the spatial relationship between a foreground object and the background. For example, in the medical imaging example described above, a surgeon may interested in viewing the heart and in the spatial relationship between the heart and the rib. In current three dimensional imaging systems, the rib may be removed from the image in order to view the heart, but this eliminates some of the information of interest, the spatial relationship between the heart and rib.

20

For these and other reasons, there is a need for the present invention.

SUMMARY OF THE INVENTION

A method and apparatus for controlling image transparency are described. In one embodiment of the invention, a method for controlling the transparency of an image of an object includes modulating the transparency of the image as a function of an angle of incidence of a vector normal to a viewing surface and the surface of the object.

10

15

20

25

In different embodiments of the invention, computers, displays, and magnetic storage media of varying scope are described. Still other advantages, embodiments, and aspects of the invention will become apparent by reference to the drawings and by reading the following description.

BRIEF DESCRIPTION OF THE DRAWINGS

FIG. 1 is a diagram showing method and system embodiments of the present invention.

FIG. 2 is a diagram showing a general flow diagram of one embodiment of a method of the present invention.

DETAILED DESCRIPTION OF THE INVENTION

In the following detailed description of example embodiments of the invention, reference is made to the accompanying drawings which form a part hereof, and in which is shown by way of illustration specific example embodiments in which the invention may be practiced. These embodiments are described in sufficient detail to enable those skilled in the art to practice the invention, and it is to be understood that other embodiments may be utilized and that logical, mechanical, electrical and other changes may be made without departing from the spirit or scope of the present invention. The following detailed description is, therefore, not to be taken in a limiting sense, and the scope of the present invention is defined only by the appended claims.

Referring to FIG. 1, one embodiment of a method of the present invention is shown. The embodiment comprises modulating transparency 100 of an image of an object. Modulating the transparency of an image is defined as varying the transparency over a range. For example, the transparency of an image can vary from opaque to clear. An opaque image permits no light from an image behind the opaque image to be viewed. A clear image permits all light from an image behind the opaque image to be viewed. As

10

15

20

25

transparency progresses from opaque to clear, the amount of viewable light emanating from images behind the image whose transparency is being modulated increases.

In a graphics processing system, the transparency of a displayed image is controlled by a parameter, in one embodiment a transparency factor, having a value of between zero and one. An image associated with a transparency factor of one is opaque, while an image associated with a transparency factor of zero is transparent. As the transparency factor increases from zero to one, the opacity of the displayed image increases. Those skilled in the art will recognize that in a graphics processing system, a complex object, such as a sphere, is represented by a large number of transparency factors, one for each incremental element of the surface of the sphere.

The transparency factor in one embodiment is a function of the angle of incidence formed by the intersection of the viewing surface normal vector with the object surface normal vector. For example, in FIG. 1, a top view of cube 105 is shown, and normal vector 110 with respect to viewing surface 120 intersects cube surface 130 at the base of normal vector 135, creating an angle of incidence 140. Similarly, normal vector 150 with respect to viewing surface 120 intersects cube surface 160 at the base of normal vector 165, creating an angle of incidence 170. Those skilled in the art will recognize that the viewing surface 120 is the location of a camera for providing a perspective in a three-dimensional graphics system.

A variety of functions, including linear and nonlinear functions, can operate on an angle of incidence to generate a transparency factor. For example, assuming angle of incidence 140 is twenty-five degrees, then a cosine function operating on twenty-five degrees results in a transparency factor of .906. Similarly, assuming angle of incidence 170 is sixty-five degrees, then a cosine function operating on sixty-five degrees results in a transparency factor of .422.

When an image of cube 105 is displayed, cube surface 130, having a transparency factor of .906, appears almost opaque, while cube surface 160, having a transparency factor of .422, appears more transparent than opaque.

An advantage of this embodiment of the invention is that it is easily applied to existing image data. The information employed, surface normals and viewing surfaces, is part of the data associated with objects in current visualization systems.

In an alternate embodiment of the invention, system 175 comprises viewing surface 120 and display 180. Viewing surface 120 defines a perspective from which an object, such as cube 190, is viewed. A display, suitable for use in this embodiment, is any device that displays images, such as a cathode ray tube or a flat panel display. The particular technology in which the display is implemented is not critical to the practice of this embodiment of the invention.

In system 175, normal vector 190 is associated with viewing surface 120.

Normal vector 190 in conjunction with normal vector 210 defines an angle of incidence

220. Since cube surface 200 is parallel to viewing surface 120, angle of incidence 220 in this example is zero.

The transparency of image 230 is modulated as a function of angle of incidence 220. For a cosine modulating function, since the cosine of zero is one, the transparency factor is one, and image 230 projected on display 180 is opaque. Opaque image 230 obscures background 240. If cube 190 is rotated, so that cube surface 200 is no longer parallel to viewing surface 120, then image 230 becomes less opaque and background 240 is no longer obscured.

In another embodiment, system 245 comprises viewing surface 120, display 250, processor 260 operatively coupled to display 250, and graphics engine 270 running on processor 260. Viewing surface 120 has been described above, and display 250, which is the same as display 180, has also been described above.

The selection of processor 260 is not critical to the practice of this embodiment of the present invention. Virtually any processor, for example, a microprocessor, a mainframe processor, or a minicomputer processor, is suitable for use in this embodiment.

An advantage of this embodiment is that graphics engine 270 may be a standard graphics engine currently used in image display systems. The only requirement is that

20

25

5

10

10

15

20

25

engine 270 be capable of modulating the transparency of an object, as described above, from a parameter input. For example, engine 270 receives as an input signal a transparency modulating factor between zero and one. An input signal of zero directs the engine 270 to display the associated object as transparent, and an input signal of one directs the engine 270 to display the associated object as opaque.

In operation, modulating factor 270 is generated as described above and input to graphics engine 270. For example, a cosine function applied to an angle of incidence of zero at cube face 200 yields a modulating factor of one. The factor is input to graphics engine 270, and processor 260 drives display 250 to display opaque cube surface image 280 on display 250. Background 290 is obscured by the opaque image 280.

In another embodiment of the invention, computer system 300 comprises processor 310, computer-readable media 320, computer program 330, and display 340. Virtually any processor, such as a microprocessor, mainframe processor, or a minicomputer processor, is suitable for use in this embodiment of the present invention. Similarly, the particular computer-readable media 320 selected is not critical to the practice of this embodiment. Suitable computer-readable media include magnetic storage, optical storage, and semiconductor memory. Any display 340, such as a cathode ray tube or a flat panel display, is suitable for use in this embodiment.

Computer program 330 is executed from computer-readable media 320 by processor 310. The program modulates the transparency of an image as a function of an angle of incidence of a vector normal to a viewing surface at a surface of an object. For example, cube 350 is oriented with edge 360, which is an edge of cube face 385, parallel to viewing surface 120. Viewing surface normal 370 creates an angle of incidence 380 with cube face 385. Angle of incidence 380 is forty-five degrees, and assuming a cosine modulating function, the image of cube face 385 is displayed as a partially transparent cube face 390 on display 340, since the cosine of forty-five degrees is .707.

Referring to FIG. 2, a general flow diagram of one embodiment of a method 400 for generating a transparency factor is shown. The method 400 includes selection 410 between a FRONT_ONLY mode, a BOTH_SIDES mode, and a BACK_ONLY mode.

10

15

20

The method 400 operates in one of three modes. In the FRONT_ONLY mode, a transparency factor, calling for opacity when the front view of the object is perpendicular to the viewing vector, is generated. In the BOTH_SIDES mode, a transparency factor, calling for opacity when either the front view of the object or the back view of the object is perpendicular to the viewing vector, is generated. In the BACK_ONLY mode, a transparency factor, calling for opacity when the back view of the object is perpendicular to the viewing vector, is generated.

Method 400 includes determining a viewing angle, determining an object angle, and calculating THETA 420. To determine the viewing angle and the object angle a coordinate system is established in an x-y plane that includes a normal vector with respect to a viewing surface and a normal vector with respect to an object surface. The normal vector with respect to the viewing surface is the vector from which the viewing angle is determined, and the viewing angle is the angle the viewing normal vector makes with the x-axis of the coordinate system. The object vector is a normal vector with respect to the surface of the object, and the object angle is the angle the object normal vector makes with the x-axis of the coordinate system. THETA is the viewing angle minus the object angle plus PI.

Determining whether the FRONT_ONLY mode, the BOTH_SIDES mode, or the BACK_ONLY mode is selected occurs in decision blocks 430, 440, and 445. If none of the three modes is selected, then an error state 450 is entered.

Assigning a function of THETA to ALPHA is shown in blocks 460 and 470. Assigning a function of THETA- π to ALPHA is shown in block 475. The function applied to THETA and THETA- π can be a linear function or a non-linear function. For example, a cosine function can be applied to THETA to generate cosine(THETA).

Comparing alpha to zero is shown in decision blocks 480, 490, and 495.

Assigning zero to ALPHA, if ALPHA is less than zero and the MODE is

FRONT_ONLY, is shown in block 500, and assigning zero to ALPHA, if ALPHA is less than zero and the MODE is BACK_ONLY, is shown in block 515. Assigning minus ALPHA to ALPHA, if ALPHA is less than zero and the MODE is BOTH_SIDES, is

10

15

shown in block 510, and assigning the transparency factor to ALPHA is shown in block 520.

An advantage of this embodiment is the simplicity of the method in terms of process flow, and the small number of calculations to generate a transparency factor. For example, only two types of decisions are employed and only two types of calculations are employed. The decisions this particular embodiment employs are the mode decision in blocks 430, 440, and 445 and the polarity of ALPHA decision in blocks 480, 490, and 495. The calculations employed are the arithmetic calculation of THETA in block 420 and the application of a function to THETA in blocks 460, 470, and 475. The simplicity of the method makes the implementation of the method very cost effective.

Although specific embodiments have been illustrated and described herein, it will be appreciated by those of ordinary skill in the art that any arrangement which is calculated to achieve the same purpose may be substituted for the specific embodiments shown. This application is intended to cover any adaptations or variations of the invention. It is manifestly intended that this invention be limited only by the following claims and equivalents thereof.

What is claimed is:

1. A method comprising:

modulating the transparency of an image of an object as a function of an angle of incidence of a vector normal to a viewing surface at the surface of the object.

- 5 2. The method of claim 1, wherein the function comprises a cosine function.
 - 3. The method of claim 1, wherein the function comprises a linear function.
 - 4. The method of claim 1, wherein the function comprises a non-linear function.
 - 5. A system comprising:

a display; and

an image of an object projected on the display, where the transparency of the image is modulated as a function of an angle of incidence of a vector, normal to a viewing surface, with the surface of the object.

- 6. The system of claim 5, wherein the modulating function comprises a cosine function.
- 7. The system of claim 5, wherein the modulating function comprises a linear function.
 - 8. The system of claim 5, wherein the modulating function comprises a non-linear function.

5

9. A system for controlling the transparency of an image of an object, the system comprising:

a display;

a processor capable of driving the display with an image; and

a graphics engine capable of running on the processor, generating the image, and modulating the transparency of the image as a cosine function of an angle of incidence of a vector normal to a viewing surface at the surface of the object.

10. A computer comprising:

a processor;

a computer-readable medium; and

a computer program capable of being executed from the computer-readable medium by the processor and modulating the transparency of an image as a function of an angle of incidence of a vector normal to a viewing surface at a surface of an object.

- 11. The computer of claim 10, wherein the computer-readable medium comprises a memory.
- 12. The computer of claim 10, wherein the modulating function comprises a cosine function.
- 13. The computer of claim 10, wherein the modulating function comprises a linear function.
- 20 14. The computer of claim 10, wherein the modulating function comprises a non-linear function.
 - 15. The computer of claim 10, wherein the computer-readable medium comprises a storage device.

10

15

25

16. A method for generating a transparency factor for an image of an object, the method comprising:

selecting a viewing surface;

determining an angle of incidence created by a vector normal to the viewing surface and the object surface; and

calculating the transparency factor from the angle of incidence.

17. The method of claim 16, wherein calculating the transparency factor from the angle of incidence comprises the step of:

calculating a cosine of the angle of incidence.

18. The method of claim 16, wherein calculating the transparency factor from the angle of incidence comprises the step of:

calculating a linear function of the angle of incidence.

19. The method of claim 16, wherein calculating the transparency factor from the angle of incidence comprises the step of:

calculating a non-linear function of the angle of incidence.

20. A method comprising:

selecting a mode, the mode is FRONT_ONLY, BOTH_SIDES, or

BACK_ONLY;

20 determining a viewing angle;

determining an object angle;

calculating a theta, theta equals the viewing angle minus the object angle plus pi; assigning a function of theta to alpha, if the mode is FRONT_ONLY or

BOTH_SIDES;

assigning a function of theta minus pi to alpha, if the mode is BACK_ONLY; comparing alpha to zero;

assigning zero to alpha, if the mode is FRONT_ONLY and alpha is less than zero; assigning zero to alpha, if the mode is BACK_ONLY, and alpha less than zero; assigning minus alpha to alpha, if the mode is BOTH_SIDES, and alpha is less than zero; and

5 assigning a transparency factor to alpha.

ABSTRACT OF THE DISCLOSURE

A method and system for controlling the transparency of object in a displayed image is disclosed. In one embodiment of the invention, a method of modulating the transparency of a displayed image of an object is described. In another embodiment, a system in which a standard graphics engine takes as input a modulating factor in order to modulate the transparency of a displayed image is described.

Express Mail mailing label number: EL185984939US

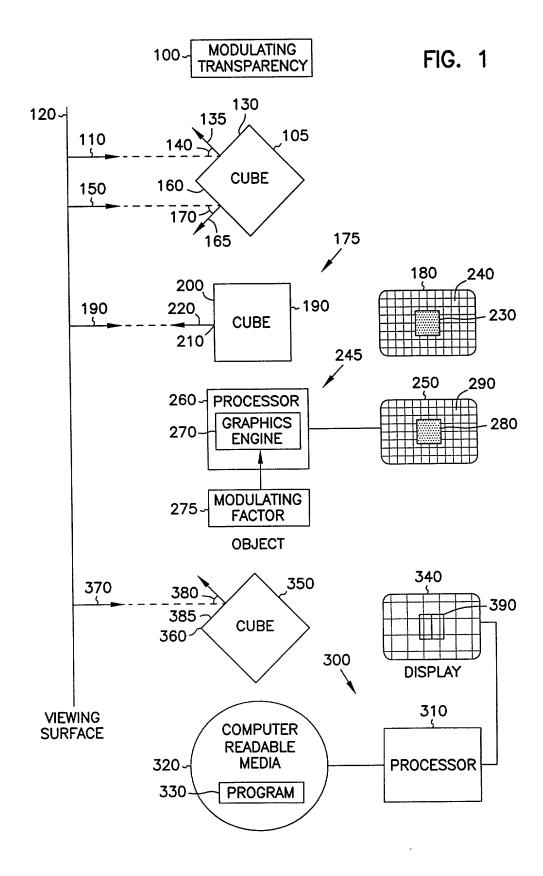
Date of Deposit: 1998

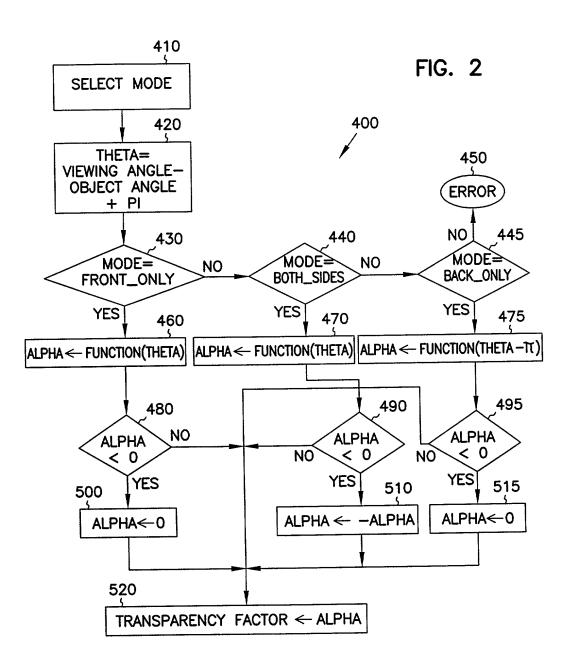
I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to the Assistant Commissioner for Patents.

Washington, D.C. 20231

Printed Name

Signature





United States Patent Application

COMBINED DECLARATION AND POWER OF ATTORNEY

As a below named inventor I hereby declare that: my residence, post office address and citizenship are as stated below next to my name; that

I verily believe I am the original, first and sole inventor of the subject matter which is claimed and for which a patent is sought on the invention entitled: **METHOD AND APPARATUS FOR CONTROLLING IMAGE TRANSPARENCY**.

The specification of which is attached hereto.

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to the patentability of this application in accordance with Title 37, Code of Federal Regulations, § 1.56 (see page 3 attached hereto).

I hereby claim foreign priority benefits under Title 35, United States Code, §119/365 of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on the basis of which priority is claimed:

No such applications have been filed.

I hereby claim the benefit under 35 U.S.C. § 119(e) of any United States provisional application(s) listed below.

No such applications have been filed.

ũ

I hereby claim the benefit under Title 35, United States Code, § 120/365 of any United States and PCT international application(s) Itsted below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application the manner provided by the first paragraph of Title 35, United States Code, § 112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, § 1.56(a) which occurred between the filing date of the prior application and the national or PCT international filing date of this application.

No such applications have been filed.

I hereby appoint the following attorney(s) and/or patent agent(s) to prosecute this application and to transact all business in the Patent and Trademark Office connected herewith:

Anglin, J. Michael Bianchi, Timothy E. Billion, Richard E. Black, David W. Brennan, Thomas F Brooks, Edward J., III Clark, Barbara J Drake, Eduardo E. Dryja, Michael A. Embretson, Janet E. Fogg, David N.	Reg. No. 24,916 Reg. No. 42,267 Reg. No. 39,610 Reg. No. 32,836 Reg. No. 42,331 Reg. No. 40,925 Reg. No. 40,925 Reg. No. 38,107 Reg. No. 40,594 Reg. No. 39,662 Reg. No. 39,665 Reg. No. 35,138	Forrest, Bradley A Hale, Jeffrey D. Harris, Robert J. Holloway, Sheryl S. Huebsch, Joseph C. Kalis, Janal M. Klima-Silberg, Catherine I Kluth, Daniel J. Lacy, Rodney L Leffert, Thomas W. Lemaire, Charles A Litman, Mark A.	Reg No. 30,837 Reg No. 40,012 Reg. No. 37,346 Reg. No. 37,850 Reg. No. 42,673 Reg No. 37,650 Reg. No. 40,052 Reg. No. 41,136 Reg. No. 41,136 Reg. No. 40,697 Reg. No. 36,198 Reg. No. 26,390	Lundberg, Steven W. Mates, Robert E. McCrackin, Ann M Padys, Danny J. Polglaze, Daniel J Schwegman, Micheal L. Sieffert, Kent J. Slifer, Russell D. Terry, Kathleen R. Viksnins, Ann S. Woessner, Warren D.	Reg. No. 30,568 Reg. No. 35,271 Reg. No. 42,858 Reg. No. 35,635 Reg. No. 39,801 Reg. No. 25,816 Reg. No. 41,312 Reg. No. 39,838 Reg. No. 31,884 Reg. No. 37,748 Reg. No. 30,440
---	--	---	---	---	---

I hereby authorize them to act and rely on instructions from and communicate directly with the person/assignee/attorney/firm/organization/who/which first sends/sent this case to them and by whom/which I hereby declare that I have consented after full disclosure to be represented unless/until I instruct Schwegman, Lundberg, Woessner & Kluth, P.A. to the contrary.

Please direct all correspondence in this case to Schwegman, Lundberg, Woessner & Kluth, P.A. at the address indicated below: P.O. Box 2938, Minneapolis, MN 55402

Telephone No. (612)373-6900

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Full Name of sole inventor Citizenship: Post Office Address:	: John David Miller United States of America 1314 N.W. Abernethy Rd. Portland, OR 97229	Residence: Portland, OR	2/98
Signature:	John David Miller	Date:	
Full Name of inventor Citizenship: Post Office Address:		Residence:	
Signature:		Date:	
Full Name of inventor: Citizenship: Post Office Address:		Residence:	
Signature:		Date:	
Full Name of inventor: Citizenship: Post Office Address:		Residence:	
Signature:		Date:	

§ 1.56 Duty to disclose information material to patentability.

- (a) A patent by its very nature is affected with a public interest. The public interest is best served, and the most effective patent examination occurs when, at the time an application is being examined, the Office is aware of and evaluates the teachings of all information material to patentability. Each individual associated with the filing and prosecution of a patent application has a duty of candor and good faith in dealing with the Office, which includes a duty to disclose to the Office all information known to that individual to be material to patentability as defined in this section. The duty to disclose information exists with respect to each pending claim until the claim is canceled or withdrawn from consideration, or the application becomes abandoned. Information material to the patentability of a claim that is canceled or withdrawn from consideration need not be submitted if the information is not material to the patentability of any claim remaining under consideration in the application. There is no duty to submit information which is not material to the patentability of any existing claim. The duty to disclose all information known to be material to patentability is deemed to be satisfied if all information known to be material to patentability of any claim issued in a patent was cited by the Office or submitted to the Office in the manner prescribed by §§ 1.97(b)-(d) and 1.98. However, no patent will be granted on an application in connection with which fraud on the Office was practiced or attempted or the duty of disclosure was violated through bad faith or intentional misconduct. The Office encourages applicants to carefully examine:
 - (1) prior art cited in search reports of a foreign patent office in a counterpart application, and
 - (2) the closest information over which individuals associated with the filing or prosecution of a patent application believe any pending claim patentably defines, to make sure that any material information contained therein is disclosed to the Office.
- Under this section, information is material to patentability when it is not cumulative to information already of record or being made of record in the application, and
 - (1) It establishes, by itself or in combination with other information, a prima facie case of unpatentability of a claim; or
 - (2) It refutes, or is inconsistent with, a position the applicant takes in:
 - (i) Opposing an argument of unpatentability relied on by the Office, or
 - (ii) Asserting an argument of patentability.

- A prima facie case of unpatentability is established when the information compels a conclusion that a claim is unpatentable under the preponderance of evidence, burden-of-proof standard, giving each term in the claim its broadest reasonable construction consistent with the specification, and before any consideration is given to evidence which may be submitted in an attempt to establish a contrary conclusion of patentability.
 - (c) Individuals associated with the filing or prosecution of a patent application within the meaning of this section are:
 - (1) Each inventor named in the application:
 - (2) Each attorney or agent who prepares or prosecutes the application; and
 - (3) Every other person who is substantively involved in the preparation or prosecution of the application and who is associated with the inventor, with the assignee or with anyone to whom there is an obligation to assign the application.
 - (d) Individuals other than the attorney, agent or inventor may comply with this section by disclosing information to the attorney, agent, or inventor.